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# A NEW ENERGY-BASED GROUND MOTION SELECTION AND MODIFICATION METHOD LIMITING THE DYNAMIC RESPONSE DISPERSION AND PRESERVING THE MEDIAN DEMAND

S. Marasco<sup>1</sup>, G.P. Cimellaro<sup>2</sup>

## ABSTRACT

A novel ground motion selection and modifications method to perform response history analysis of structures is presented in this paper. Currently, the accessibility of ground motion information permits the analysis of structures using real ground motion data. Predicting the dynamic behavior of structures is a primary objective; therefore, the selection of a set of ground motions that shows a reasonable variability of the structural response and accuracy in preserving the median demand is a challenging task. The new selection and scaling procedure emerges from comparing a set of horizontal ground motions at various ranges of frequency. In this study, the Conditional Mean Spectrum and the Design Response Spectrum are used as target spectra, and the records that give an applicable and compelling contribution to the hazard are considered. It is possible to obtain a set of ground motions with similar seismic severity by matching the target spectrum at the period of interest  $T_{ref}$ , where the scaled spectrum should have an equivalent Housner intensity in the period range  $0.2T_{ref}-2T_{ref}$ . The horizontal components for every band of frequency is obtained using a specific index that depends on the energy-frequency trend's shape as well as on its scattering degree around the mean value. This allows obtaining a set of spectrum-compatible records with almost identical severity and low dispersion of the structural response parameters. The methodology has been tested showing a significant effectiveness in terms of low variability of parameters and accuracy in preserving the median demand for a given hazard scenario.

## 1 INTRODUCTION

The main goal of performance-based seismic design is to predict the seismic response of structures. This is achieved using different existing Finite Element Method (FEM) programs that are able to perform Non-linear Response History Analyses (NRHA). In addition, the accessibility of a vast amount of real ground motion data, recorded over the past decades, contributes in successfully performing the time-history analysis. Nowadays the trend is using real ground motion records instead of the artificial accelerograms because real earthquakes are usually distortion-free and have a more realistic energy content. Generally, the target spectrum is obtained considering the seismic hazard information at the site of interest while the structural behavior is described by the structural natural period. This constitutes the foundation of the selection ground motion selection. Different procedures for Ground Motion Selection and Modification (GMSM) are suggested to reduce the dispersion in the structural response due to different earthquakes and preserve the median demand [1]. Three selection methods based on: *scenario*, *time*, and *hazard intensity* are defined by the Seismic Performance Assessment of Buildings [2]. Intensity-based GMSM methods are performed to match the intensity measure (IM) obtained from the Probabilistic Seismic Hazard Analysis (PSHA)[3]. This is performed by modifying real ground motion records. Every ground motion record is modified in such a way to match the target response spectrum. The spectral acceleration that is consistent with

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<sup>1</sup> PhD Student, Department of Civil Structural & Geotechnical Engineering, Politecnico di Torino, Italy, [sebastiano.marasco@polito.it](mailto:sebastiano.marasco@polito.it)

<sup>2</sup> Visiting Professor, Department of Civil and Environmental Engineering, University of California, Berkeley, CA, USA, [gianpaolo.cimellaro@polito.it](mailto:gianpaolo.cimellaro@polito.it)

the fundamental period of the structure (with 5% damping ratio) is the most commonly used IM parameter. In these cases, selection of records is based on the mean compatibility between the response spectrum and the target spectrum. The dispersion between the elastic and target response spectra has been taken into account by many researchers. Ambraseys et al. [4] suggested the verification of the spectral compatibility of a certain record according to the parameter reported in Equation (0).

$$D_{ms} = \frac{1}{N} \sqrt{\sum_{i=1}^N \left( \frac{Sa_0(T_i)}{PGA_0} - \frac{Sa_s(T_i)}{PGA_s} \right)^2} \quad (0)$$

where  $N$  is the number of periods within the reference interval and  $Sa_0(T_i)$  is the spectral acceleration of the record at period  $T_i$ .  $Sa_s(T_i)$  is the target spectral acceleration at the same period value, and  $PGA_0$  and  $PGA_s$  are the peak ground acceleration of the considered record and of the target, respectively. In addition, Iervolino et al. [5] reported the mean deviation of the record's spectra with respect to the target spectrum in a specific period range (Equation (0)).

$$D_i = \sqrt{\frac{1}{N} \sum_{i=1}^N \left( \frac{Sa_j(T_i) - Sa_s(T_i)}{Sa_s(T_i)} \right)^2} \quad (0)$$

Here, the  $PGA$  value is not taken as a normalizing factor and  $Sa_j(T_i)$  represents the spectral acceleration of the  $j^{\text{th}}$  record at period  $T_i$ . The methodology proposed by Iervolino et al. [5] has been implemented in the REXEL software.

Bradley [6] proposed a ground motion selection procedure based on the generalized conditional intensity measure (GCIM) approach. The method is applied by using random realizations from the conditional multivariate distribution of intensity measures derived by the GCIM approach. This method allows to select natural, synthetic and simulated motions considering any number of IM assumed as important parameters in the dynamic structural response assessment.

On the other hand, the scenario-based assessment is performed considering the source-to-site distance, faulting system, soil category, and earthquake magnitude. Shome et al. [7] picked real accelerograms built upon the basis of four different magnitude-distance pairs ( $M$ - $R$ ), restricting the variation in the target values. Previous research has failed to come up with an efficient  $M$ - $R$  based procedure for the structural dynamic response. Baker and Cornell confirmed [8] that the source-to-site distance is not statistically of great importance to the response of the structure. On the other hand, they reported that earthquake magnitude is a key factor. It is worth noting that if soil response or liquefaction analyses are to be performed, the soil profile characteristics must be integrated in the selection process. In these cases, the cyclic action of the motion influences the response of the soil. Thus, the aim is to achieve a set of accelerograms that do not have significant gaps in the Fourier Amplitude Spectrum (FAS) and in the duration. The selection procedure has to be carried out considering a full classification of the site in terms of shear wave velocity at the uppermost 30 m ( $V_{s,30}$ ) and the magnitude that influences the duration of the ground motion.

The performance of a structure subjected to a dynamic excitation is strongly dependent on the frequency content of the input. Thus, the comparison of the energy content of the motions with the target energy content represents a new approach focused on the minimization of the structural response dispersion and accuracy in the mean dynamic response prediction. In addition, the ground motion scaling is performed to have similar severity that produces a comparable structural damage. In the context of performance-based design, the proposed GSM methodology ensures a suitable approach to assess the dynamic response of a structural and geotechnical system.

Further details on the proposed methods are given in section 2 including the novelty and benefits of the method. A case study illustrating application of the method for a steel building is presented in paragraph 3. In addition, a second case study is presented in paragraph 4. In the latter, a reinforced concrete pier bridge is investigated, using the software OPENSIGNAL 4.1 [9] for the GSM procedure.

## 2 DESCRIPTION OF THE METHOD

A novel GSM procedure for minimizing the dispersion of the Engineering Demand Parameters (EDP) and enhancing the accuracy in the prediction of dynamic structural response is proposed. The estimation in the response of the structural system subjected to a seismic action is affected by the uncertainty in the ground motion selection and in the dynamic response of the structure. The uncertainty in the ground motion selection for a given site may be reduced considering a range of magnitude and source-to-site distance (such as source-to-site distance). Moreover, the variability of the waveform characteristics of the input affects the estimation of the fragility functions. The proposed method allows to select ground motions having a limited variance of waveform characteristics such as peak parameters and input energy content parameters. Reducing the record-to-record variability enhances the estimation of the dynamic structural response and its fragility [10]. In fact, the seismic response dispersion, and its accuracy, is strongly correlated to parameters describing the seismic input. The natural records are selected in order to have a similar intensity measure and a low record-to-record variability. Therefore, the selected set of motions produce a structural dynamic response that reflects the median demand with a reduced dispersion. In the structural assessment process, the increase in accuracy leads to a better estimation of the aftermath consequences.

### 2.1 Selection of the target spectrum

Finding the target spectrum is the first step in the selection procedure. One target spectrum that is widely used is the Uniform Hazard Spectrum (UHS). This comes from the PSHA [2] and defines the locus of spectral acceleration at each period having a given exceedance probability. Ground motions with different magnitudes and source-to-site distance values contribute to the total hazard. One of the observed key differences is that near-source earthquakes control the high frequency part of the UHS, while distant earthquakes influence low frequency. The UHS is not representative as target spectrum for any individual seismic excitation because no single earthquake will produce a response in a wide range of frequency content. This in turn draw attention to the Conditional Mean Spectrum (CMS- $\epsilon$ ) that is obtained conditioning on a spectral acceleration at only one period according to commonly used de-aggregation parameters  $M$ ,  $R$  and  $\epsilon$  [8][11]. The last parameter is a measure of the difference between the mean logarithmic spectral predicted demand and the logarithmic spectral acceleration of a record with a predefined attenuation model for the site of interest. Baker and Cornell [8] looked into the dynamic response of a Multi Degree Of Freedom (MDOF) system taking into account the ground motion records having a specific intensity and matching UHS and CMS- $\epsilon$ . The selected records that are based on the CMS- $\epsilon$  generated less dispersion in the dynamic response of structures.

### 2.2 Ground motion scaling

Typically, the spectral acceleration at reference period ( $S_a(T_{ref})$ ) is the intensity measure parameter (IM) used in the ground motion selection approach. This measure provides information on the maximum elastic seismic action on the structure. For MDOF structural systems, the reference period  $T_{ref}$  may be taken equal to the first mode ( $T_1$ ). This is because the dynamic response of the system is dominated by the first mode. In such a case when the stiffness and the mass of the structural system are non-uniformly distributed, the dynamic response is computed as a combination of the different modes. A modal participation factor with a value greater than 85% in both directions is recommended. In these cases, the reference period may be derived from weighted arithmetic mean of the periods corresponding to the investigated modes with modal participation factors (Equation (0)).

$$T_{ref,h} = \frac{\sum_{i=1}^N T_{i,h} \cdot |g_{i,h}|}{\sum_{i=1}^N |g_{i,h}|} \quad (0)$$

where  $T_i$  and  $g_i$  represent the  $i^{th}$  mode's period and modal participation factor, respectively, while  $h$  index is related to the horizontal motion component. The amount of the real ground motion records that are freely accessible is not sufficient to have a great number of motions having identical spectral acceleration at the same period. It is essential to modify the records to have multiple sets of compatible ground motions. Most of the existing modification procedures are based on scaling the spectral acceleration at reference period ( $S_{a,i}(T_{ref})$ ) to the target spectral acceleration ( $S_{a,TS}(T_{ref})$ ) (Equation (0)). This approach considers records resulting in the same maximum elastic seismic action on the structure.

$$SF_{I,i} = \frac{S_{a,TS}(T_{ref})}{S_{a,i}(T_{ref})} \quad (0)$$

In the proposed methodology, each record is adjusted in two parallel ways. Equation (0) is used to carry out the first modification approach, while the second modification method is based on the Housner intensity of the motion within the considered range of period.

Housner intensity is evaluated for every record in the range  $\Delta T = 0.2 \cdot T_{ref} \sim 2 \cdot T_{ref}$  ( $IH_{i,i}(\Delta T)$ ) which is the interval period in which the mean spectrum-compatibility has to be validated. Pseudo Velocity Spectrum (PVS) is used to calculate the target Housner intensity ( $IH_{TS}(\Delta T)$ ). Equation (0) shows the Housner intensity-based scale factor of the  $i^{th}$  record.

$$SF_{II,i} = \frac{I_{H,TS}(\Delta T)}{I_{H,i}(\Delta T)} \quad (0)$$

### 2.3 Ground motion selection

The selection method depends on the energy content of the ground motion in the different frequency bands. It is well known that the energy of the periodic signal is proportional to its squared amplitude. As indicated by Fourier, a generic time history can be decomposed in infinite harmonic periodic functions with given amplitude ( $A_i$ ) and circular frequency ( $\omega_i$ ). The Fourier transform provides indication in the amplitude contribution for every frequency of the ground motion. The Fourier series is used to calculate the trend of the squared amplitude ( $A_i^2$ ) in the frequency domain. The frequency domain is sampled in different bands ( $\Delta f$ ) of 0.5 Hz. In addition, the total energy-proportional coefficient is evaluated for every  $\Delta f$  as the summation of each contribution in the given frequency band. The amplification function ( $/A/$ ) is used to calculate the target energy content as the ratio between the spectral acceleration at the period under consideration and the period corresponding to  $T=0$  (PGA). The CMS- $\varepsilon$  for the given site has been selected as target spectrum and sampled in intervals  $\Delta T=0.05$  s and the amplification function corresponding to the generic  $i^{th}$  period is given by (Figure 1.a).

$$|A_i| = \frac{S_{a,TS}(T_i)}{S_{a,TS}(T=0)} = \frac{S_{a,TS}(T_i)}{PGA_{TS}} \quad (0)$$

where  $S_{a,TS}(T_i)$  represents the target spectral acceleration at the generic period  $T_i$ , while  $PGA_{TS}$  is the target peak ground acceleration.

According to the definition of the amplitude function and by setting a damping ratio  $\xi$  equal to 5%, the exciting target frequency ( $\omega_{f,i}$ ) is computed (Equation (0)).

$$|A_i| = \frac{1}{\sqrt{\left(1 - \left(\frac{\omega_{f,i}}{\omega}\right)^2\right)^2 + \left(2 \cdot \xi \cdot \frac{\omega_{f,i}}{\omega}\right)^2}} \quad (0)$$

Then the terms  $|A_i|^2$  and  $\omega_{f,i}$  are determined by repeating the same approach for each sampled period (Figure 1.b). The target percentage energy content is acquired by splitting the frequency domain into multiple bands of  $0.5\text{Hz}$  and then aggregating their contributions. For the  $k^{\text{th}}$  frequency band, the target percentage energy contribution ( $E_{p,k}(TS)$ ) is given by Equation (0) (Figure 1.c).

$$E_{p,k}(TS) = \frac{\sum_{\Delta f_k=0.5\text{Hz}} (|A_k|)^2}{\sum_{k=1}^N (|A_k|)^2} \quad (0)$$

where  $\sum_{k=1}^N (|A_k|)^2$  represents the total energy-proportional coefficient in the frequency domain that is sampled in  $N$  total bands.

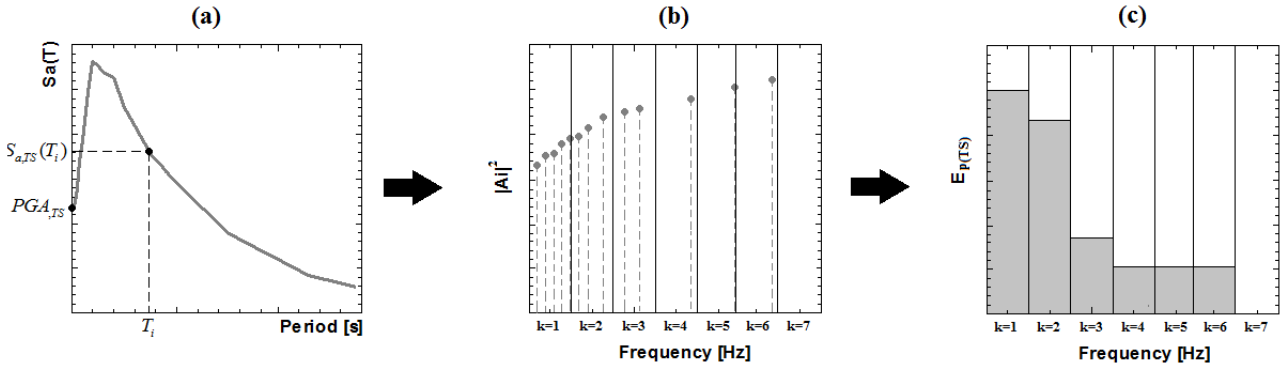


Figure 1. Scheme of the procedure used to obtain the target energy content in the discretized frequency domain. (a) Discretization of the period domain, calculation of the amplification function and evaluation of the associated frequencies, (b) definition of the distribution of  $(|A_i|)^2 - \omega_{f,i}$  and (c) target energy-proportional coefficient for each  $k^{\text{th}}$  frequency band.

## 2.4 Summary of the methodology

Scaling and selection procedure is summarized in the following steps:

- 1) Set a maximum and a minimum value for the  $SF_I$  and select all the records in such a way to be within the interval  $SF_{I(min)} - SF_{I(max)}$ .
- 2) A maximum absolute percentage dispersion of the PGA ( $\sigma_{PGA}$ ) with respect to the target PGA is assigned. This step allows to minimize the PGA variance of the records for a given hazard scenario.
- 3) Maximum and minimum values are set for the moment magnitude and the source-to-site distance according to the de-aggregation study of the site. This permits to select significant records within the seismogenic characteristics of the selected site.
- 4) The Housner intensity-based scale factors are evaluated for the modified ground motion ( $SF_{II}$ ). Thus, only ground motions matching the target Pseudo Velocity Spectrum (PSV) in the selected period range are considered.
- 5) The selection is carried out considering the ground motion having equal values for  $SF_I$  and  $SF_{II}$ . Since the number of motions available in the strong motion database is reduced, a small variance between the two values of the scale factors ( $\sigma_{SF}$ ) has to be fixed. Thus, the ratio between the two scale factors must be limited in the range given by Equation (0).

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$$(1 - \sigma_{SF}) \leq \frac{SF_{I,i}}{SF_{II,i}} \leq (1 + \sigma_{SF}) \quad (0)$$

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Generally a variance value less than 15% is suggested.

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6) Preliminarily, only records satisfying the conditions mentioned in steps 2, 3, and 5 are selected (compatible records).

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7) Finally, only seven records have to be selected (in both horizontal directions for structural analysis, and in a single horizontal direction for soil response analysis). The selection procedure is performed comparing the energy content of each compatible record with the target energy content. For a general compatible record, the energy trend coefficient ( $C_E$ ) is computed as in Equation (0).

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$$C_E = \frac{1}{\left\{ |E_{p,k(i)} - E_{p,k(TS)}| \cdot \left[ \sum_{j=1}^{20} |E_{p,k(i)} - E_{p,k(TS)}| \right] \right\}} \quad (0)$$

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where  $E_{p,k(i)}$  and  $E_{p,k(TS)}$  represent the total energy percentage content for the  $k^{th}$  frequency band of the  $i^{th}$  record and of the target, respectively. The summation in the denominator indicates the total dispersion of the energy content of the  $i^{th}$  record with respect to the target.

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For every frequency band, all the records are arranged in a descending order of  $C_E$  values. Typically, the frequency components of a seismic signal are contained between 0.1 and 10 Hz. Considering the maximum frequency threshold as 10 Hz and assuming a frequency band amplitude of 0.5 Hz, the total number of frequency bands to be analyzed are 20. Therefore, the summation expressed in Equation (0) is performed for 20 different frequency bands.

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As indicated by the percentage contributions of energy band content, a number  $n_k$  of records is chosen for every band so as to have the greater values for the energy trend coefficient. This strategy begins from  $\Delta f$ : 0-0.5Hz and is halted when the number  $\sum_{k=1} n_k$  accomplishes a value of 7.

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## 2.5 Advantages of the methodology

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a) Spectral acceleration-based selection procedures are somehow problematic because the PGA may not be close in value to the PGA derived from the hazard analysis. One reason could be the inadequacy of the spectrum compatibility within the low periods range. In addition, a large variance of the PGA of a records group may create high dispersion of the maximum dynamic response of the structure. Nevertheless, the variation can be limited by assigning a maximum absolute dispersion for the PGA ( $\sigma_{PGA}$ ) with respect to the target.

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b) The initially proposed modification method is used in other GSM procedures. Every scaled record generates identical maximum elastic actions on the structure. To avoid distortion in the frequency and energy content, the maximum and minimum scale factor limits ( $SF_{I(min)}$  and  $SF_{I(max)}$ ) have to be assigned. The ratio between the scale factor based on the reference spectral acceleration and on the Housner intensity does not to exceed the value of  $1 \pm \sigma_{SF}$ . This is equivalent to expect constant values of Housner intensity as well as to generate similar elastic seismic action on structure. The Housner Intensity correlates the severity of seismic events with building structural damage. Thus, each adjusted record generates an approximately similar structural damage. Furthermore, the equal Housner intensity allows controlling the average trend of the PSV and then the acceleration response spectrum for every record. The selected records have the maximum energy content representativeness with the target energy distribution. Furthermore, the consistency of the PGAs with the relative hazard value makes the peak amplitude of the records quite similar. Therefore, the proposed selection procedure has the potential to

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influence and control the energy input of the structure. This in turns provides a group of motions with a contained variance in the seismic energy content (e.g. Arias Intensity).

c) Tso et al. [12] claimed that the energy and frequency content of a ground motion are associated with the ratio between the peak value of acceleration and peak value of velocity (*AV* ratio). After analyzing 45 records, three classes of *AV* ratio were identified (low, intermediate, and high). Records of a given group have shown a similar trend in terms of energetic content in the frequency domain. Since the records selected have moderate variability of energetic contents in frequency domain, each of them assume a small variability of *AV* ratio.

d) Structural damage caused by seismic activities is proportional to the number (*n*) and amplitude (*m*) of the plastic load-unload cycles. Manfredi and Cosenza [13] proposed an index for structural damage (*I<sub>D</sub>*) through the Arias intensity (*I<sub>A</sub>*), *PGA*, and *AV* ratio (Equation (0)).

$$I_D = \frac{2 \cdot g}{\pi} \cdot \frac{I_A}{PGA^2} AV \quad (0)$$

Equation (0) reports the ground motion hysteretic energy demand (*E<sub>H</sub>*) as damage severity parameter.

$$E_{h,d} = F_y \cdot (\Delta u_{max} - \Delta u_y) \cdot [1 + m \cdot (n - 1)] \quad (0)$$

where *m* and *n* are directly proportional to *I<sub>D</sub>*. The parameters *F<sub>y</sub>* and *Δu<sub>y</sub>* identify the yielding action and displacement, respectively. These terms are related to the structure and they are independent of any external agents, while *Δu<sub>max</sub>* is the maximum dynamic response in terms of displacements.

The low variability of the *PGA*, *AV* ratio, damage severity (expressed by means of the hysteretic energy demand *E<sub>H</sub>*), and Arias intensity (*I<sub>A</sub>*) prompts a controlled dynamic response of the structure (*Δu<sub>max</sub>*). The dynamic response of multi-story buildings can be alternatively expressed as the sum of drift contribution at each story ( $\sum_i \Delta u_{max,i}$ ). The proposed GSM procedure ensures

a maximum control over the story drift, obtaining low dispersion among the seven selected records and an accurate expected response for a given IM, as indicated in Equation (0).

### 3 CASE STUDY 1

#### 3.1 Description of the structure and structural analysis

The case study is a five-story steel hospital in the city of Oakland, California, US (Lat: 37.7792, Long: -122.1620). Non-linear dynamic analyses have been performed through the structure. The lateral resisting system is a dual system (moment resisting frames and braces in both directions). Beams and columns have H sections, while hollowed structural section (HSS) have been assigned to the braces. The software Sap2000 [14] has been used to build the FEM model of the studied structure (Figure 2).



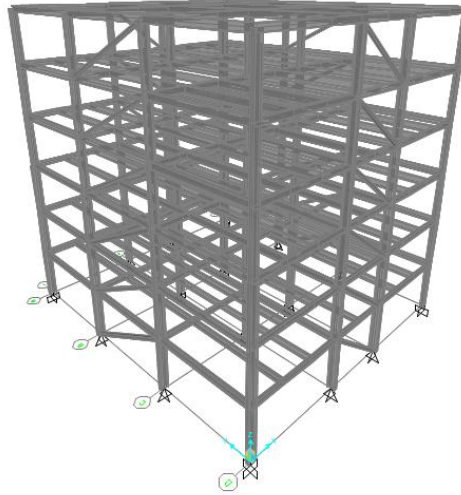


Figure 2. FEM extruded model of the case study building.

Concentrated plasticity model (FEMA 356 type P-M2-M3 for columns and M2-M3 for beams) has been chosen to account for the nonlinearity in the structural components. As for the bracing system, axial hinges have been allocated. A 3% damping ratio has been assigned to the frames according to Rayleigh formulation. Nonlinear direct integration has been used to perform the analysis. The analysis has been performed taking into consideration the P- $\Delta$  effects and applying the horizontal acceleration time histories in the two principal plan directions of the building model.

### 3.2 Ground motion selection and modification

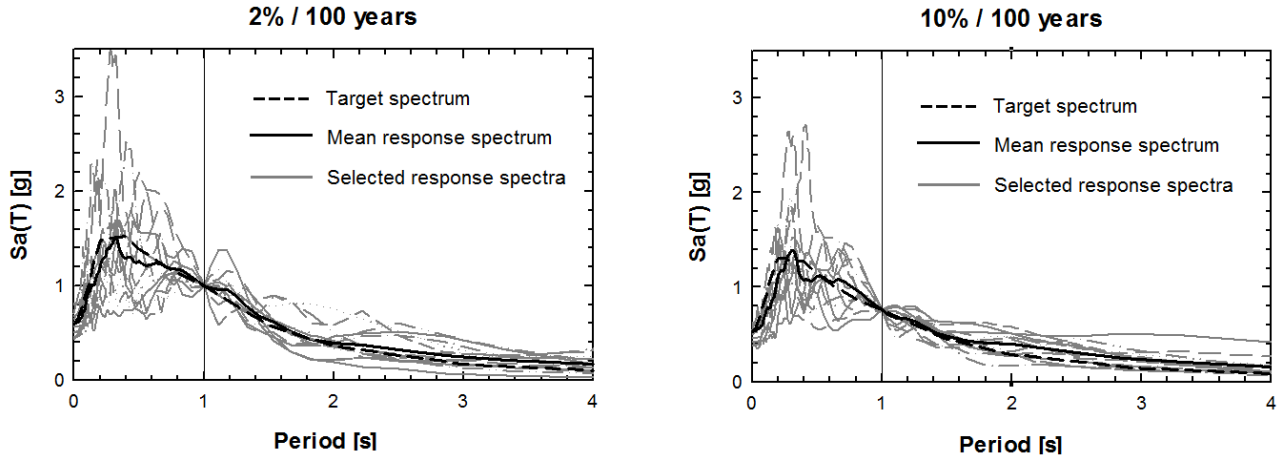
Seven hazard levels (HL) have been analyzed (i.e., 75%, 60%, 50%, 20%, 10%, 5% and 2% of exceedance probability in 100 years). The mean value of the epicenter distance ( $R_{mean}$ ), the logarithmic spectral offset at reference period ( $\varepsilon(T_{ref})$ ), and the moment magnitude ( $M_{W,mean}$ ), have been computed according to Boore-Atkinson attenuation model [15]. Further detail on the data can be found in the interactive de-aggregation of USGS (<http://geohazards.usgs.gov/deaggint/2008/>) [16]. The shear wave velocity at the uppermost 30 m has been assumed equal to 736 m/s according to the Global Vs30 Map Server (<http://earthquake.usgs.gov/hazards/apps/vs30/>) [16]. The Conditional Mean Spectrum acquired from the de-aggregation study (CMS- $\varepsilon$ ) has been taken as the target spectrum [17][1] and the model of Baker and Jayaram [18] has been considered as correlation model. OPENSIGNAL 4.1 software [9] has been used to define CMS- $\varepsilon$  for each HL. Table 1 displays the values of the IM parameters and PGA for each HL.

Table 1. Spectral acceleration at first-mode period and PGA for each HL.

HL	75%	60%	50%	20%	10%	5%	2%
<b>Sa(<math>T_{ref}</math>) [g]</b>	0.12	0.16	0.20	0.41	0.58	0.76	0.98
<b>PGA [g]</b>	0.17	0.20	0.24	0.38	0.47	0.54	0.62

The first elastic mode of the building is approximately 1 sec. The related target spectral acceleration is considered as the IM parameter. Since the structure is regular in plan and elevation, the first period of the building has been assumed as the reference period ( $T_{ref}$ ). Seven groups of acceleration records have been selected for each direction and for each HL according to the proposed GSM procedure.

311 A comparison between the target spectrum and the mean spectrum for HL of 2% and 10% in 100  
 312 years is depicted in Figure 3. The mean spectrum has been obtained as the average of the seven groups  
 313 of spectra also reported in Figure 3. The mean spectrum-compatibility is satisfied into the reference  
 314 range of period.  
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316  
 317 Figure 3. Spectrum-compatibility for 2% and 10% of exceedance probability in 100 years.

318 The spectrum-compatibility criterion is well respected especially for the periods that are close to the  
 319 conditioning period.  
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### 321 3.3 Analysis of the results and comparison with other GSM methods

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 323 The selected records are the input data of the non-linear analysis. A common approach is to correlate  
 324 the performance of the structure to the maximum inter-story drifts that are capable of providing  
 325 information about the damage state of the elements. Figure 4 indicates the response of the structure  
 326 in terms of maximum drift ratio as EDP values. The response is shown as a function of the spectral  
 327 acceleration at the first period of the structure (IM). The lognormal probability density distribution of  
 328 the structural response has been defined by performing simple statistical analyses. This has been  
 329 conducted by comparing the statistical results in terms of mean ( $\theta$ ) and standard deviation ( $\beta$ ) (Figure  
 330 4).  
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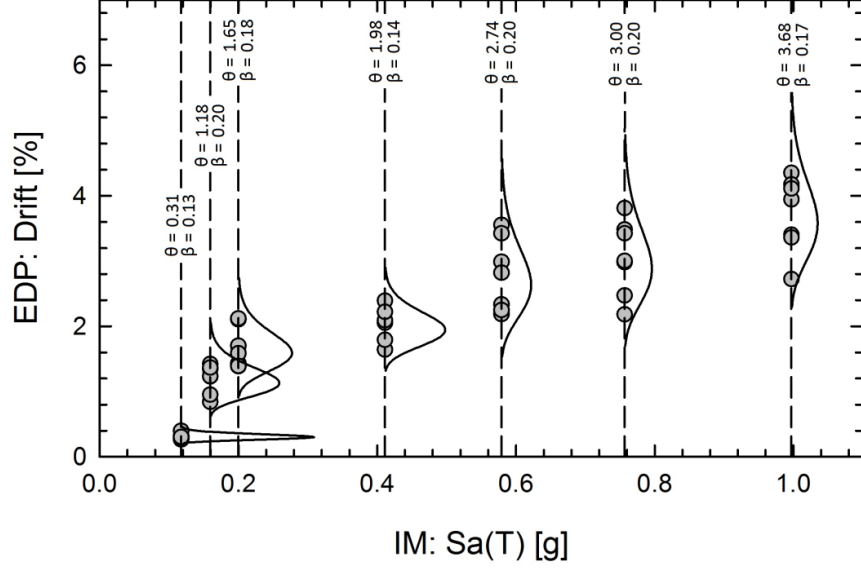


Figure 4. Maximum inter-story drift for each IM and statistical analysis of the results.

For the same structure, the selection procedure has been performed according to the spectrum-compatibility methods proposed by Ambraseys et al. [4] and Iervolino et al. [5]. The spectral acceleration at reference period of the structure has been used as IM and the same seven HLs have been assumed. In order to consistently compare the results, the same ground motion database and range of scale factors have been considered in the selection procedure. For each HL, the records selected using REXEL [5], Ambraseys, and energy-based method have been compared in terms of main ground motion characteristics ( $PGA$ ,  $AV$  ratio, Arias intensity ( $I_A$ ), and structural damage index ( $I_D$ )). Considering the ground motion parameters normally distributed, the mean and standard deviation values have been calculated and compared for HL having exceedance probability greater or equal than 50% in 100 years (Figure 5).

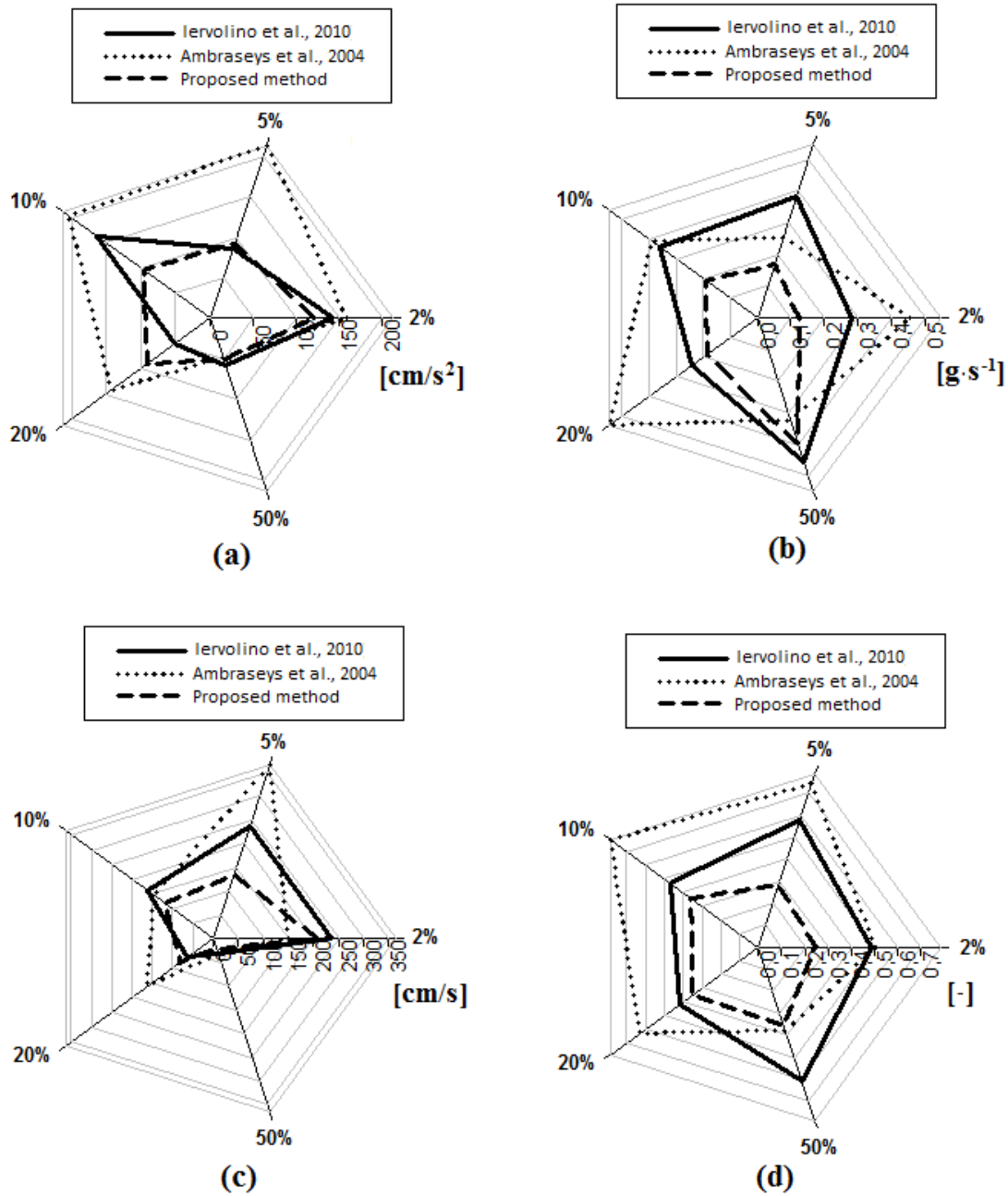


Figure 5. Comparison of the standard deviation of PGA (a), AV ratio (b),  $I_A$  (c), and  $I_D$  (d) obtained through Iervolino et al., 2010 [5], Ambraseys et al., 2004 [4] and the proposed method.

The standard deviation of the waveform characteristics gives information about the dispersion of these parameters for a given HL (record-to-record variability). The waveform parameters of the ground motions selected with the proposed method assume limited values of standard deviation compared with the other two considered methods, especially for the structural damage index ( $I_D$ ). This is reflected on the structural response by limiting the dispersion of the EDP for a given hazard scenario.

The records selected through the Ambraseys and Iervolino methods have been used as seismic input in the nonlinear dynamic analyses. The results in terms of logarithmic dispersion of maximum inter-story drifts ( $\beta$ ) and median maximum inter-story drifts are compared for each HL (Figure 6).

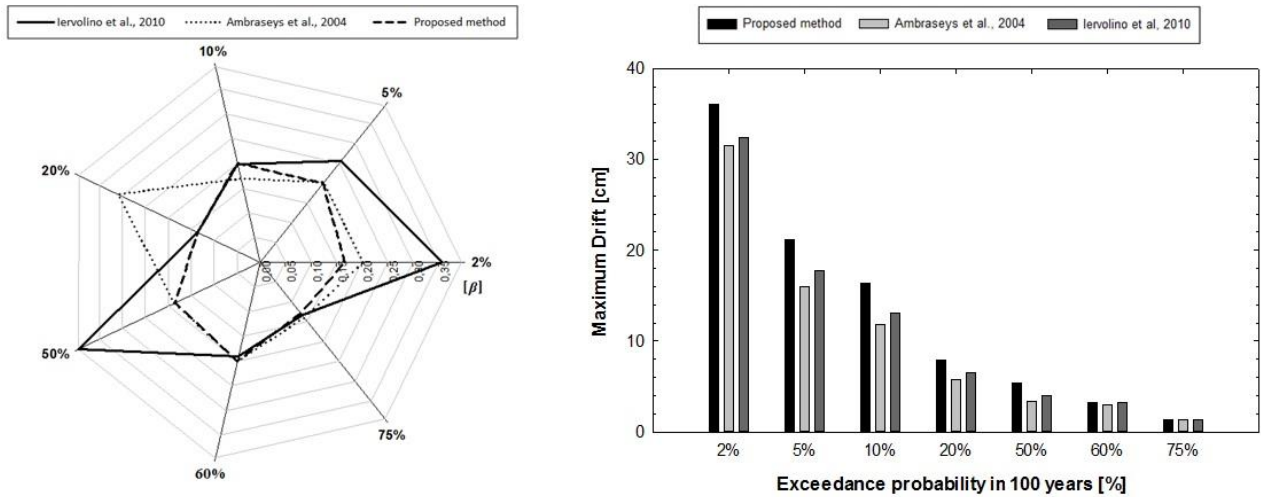


Figure 6. Comparison of the logarithmic dispersion of maximum inter-story drifts and median maximum inter-story drifts obtained through Iervolino et al., 2010 [5], Ambraseys et al., 2004 [4] and the proposed method.

The proposed method shows a low and uniform dispersion of the EDPs for each HL ( $\beta \leq 0.20$ ) while the other two approaches present high dispersion values in some HLs. According to the definition of Damage States (FEMA 351) [20], the related maximum inter-story drifts have been derived for each HL (expected median drifts). Moreover, the ratio between the expected median drift and the median drift demand obtained from the time history analyses has been evaluated for each HL (median drift ratio). This procedure has been carried out for the ground motions selected according to Iervolino, Ambraseys and the proposed method. **Errore. L'origine riferimento non è stata trovata.** Figure 7 depicts a comparison of the median drift ratio among the three GSM methods.

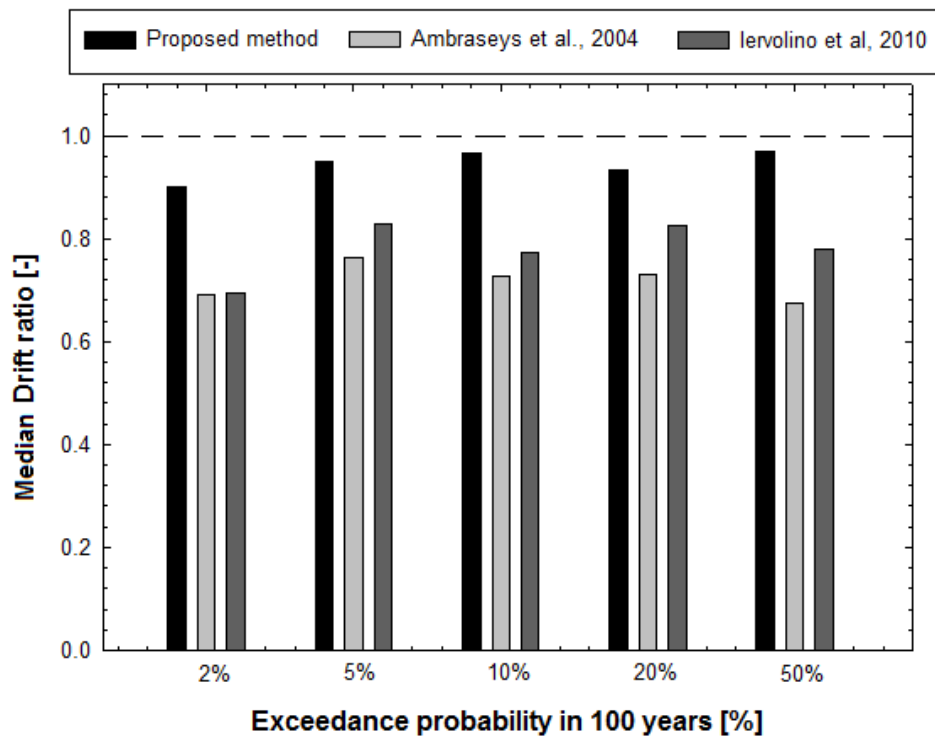


Figure 7. Comparison of the median drift ratio obtained through Iervolino et al., 2010 [5], Ambraseys et al., 2004 [4] and the proposed method..

The full accuracy with the median drift demand for a given hazard scenario is achieved when the median drift ratio is equal to one. The median drift ratio resulting from the proposed method is the closest to the unit value for each HL. Thus, the proposed method shows an adequate accuracy in preserving the median drift demand for a given hazard scenario, especially for high HLs. The fragility functions for structural systems are statistical distributions, taking a form of lognormal cumulative distribution functions, used to indicate the probability that a component, element, or system will be damaged as a function of a given EDP. According to FEMA 351 [20], the extensive and complete Damage State (DS) have been identified for the steel building depending on the maximum story drift ratio. For each DS, only the drift ratios that do not exceed the associated maximum limit have been considered and the related mean and standard deviation have been calculated. These statistical parameters have been used to derive the lognormal cumulative distribution function that represents the probability to exceed a certain level of damage on the considered structure. Figure 8 shows a comparison in terms of fragility functions obtained from the three different GSM methods.

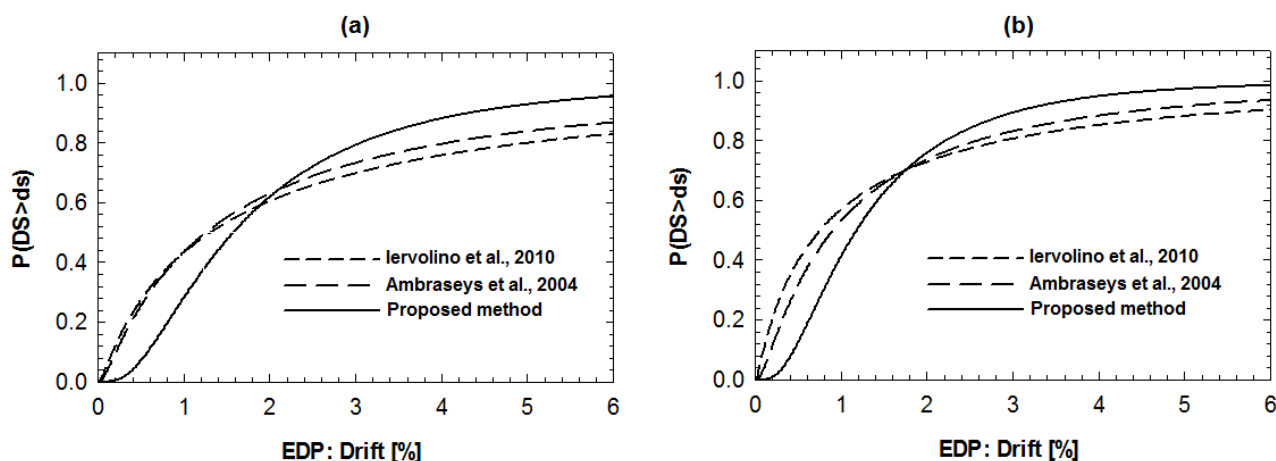


Figure 8. Comparison of the fragility curves obtained through Iervolino et al., 2010 [5], Ambraseys et al., 2004 [4] and the proposed method for extensive (a), and complete damage state (b).

Since the mean value and the dispersion of structural response influence the estimation of the fragility curves, this comparison is of high importance. The fragility curves' dispersions obtained through Iervolino and Ambraseys are greater than the dispersion derived from the proposed method. Moreover, the mean probability to exceed a certain damage state is about similar for the fragility functions resulting from the selection method proposed by Iervolino and Ambraseys. Figure 8 shows a difference in terms of mean probability to exceed complete and extensive damage states between the proposed method and the Iervolino and Ambraseys methods. This is also reflected in the comparison presented in Figure 7.

## 4 CASE STUDY 2

### 4.1 Description of the structure and structural analysis

An ordinary reinforced concrete girder bridge located in the city of Savoca, Italy (Lat: 37.9558, Long: 15.3397) has been investigated. The bridge is composed of four spans with the same length. The three internal piers present a full circular cross section with symmetric reinforcement. Each pier has a total length of 23 m and rests on a circular shaft foundation that is 10.00 m high and 8.00 m in diameter. The foundation soil is a normally consolidated sand with an angle of internal friction of  $30^\circ$  and specific weight equal to  $20 \text{ kN/m}^3$ . The static scheme of the bridge is showed in Figure 9.

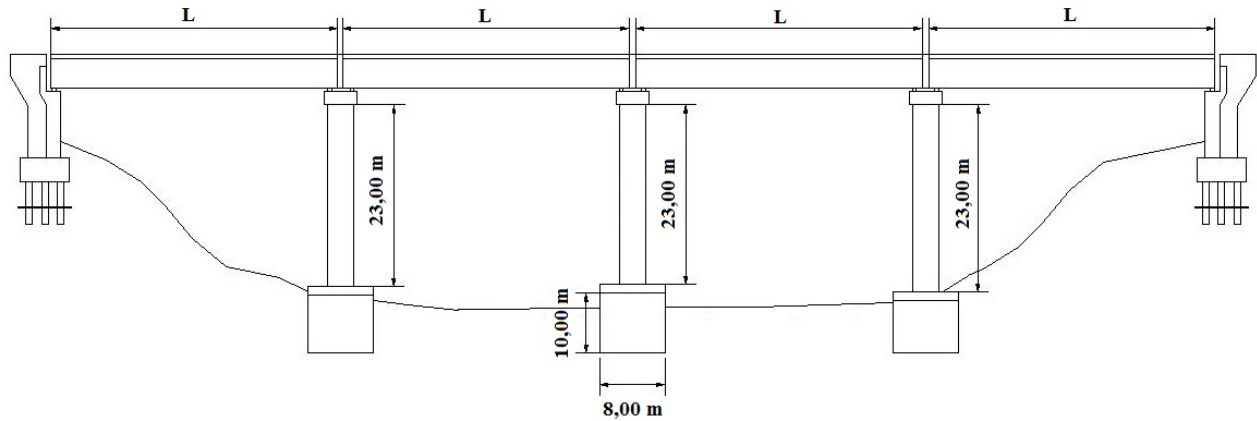


Figure 9. Static scheme of the case study bridge.

The design of the piers has been carried out according to European standards [21][22] while the shaft foundation has been designed and verified according to the Jamiolkowski method [23]. The horizontal soil-structure interaction has been taken into account by modeling the non-linear behavior of the soil and considering a uniform foundation scouring of 1.00 m. According to Boulanger et al [24] and Gerolymus and Gazetas [25] the soil is modeled through macro-elements composed by linear and non-linear elements in series. For the case study, a plastic element and a viscous-elastic element (dashpot-spring model) have been used in series to simulate the soil behavior. The software Sap2000 [14] has been used to model the pier and shaft foundation (model created by Rizzo A.). For the horizontal soil-structure interaction, the *Non-linear-Link* element based on the Wen plastic model has been considered while elastic behavior has been taken into account through the *Linear-Link* element. The force-deformation relationship used to set the non-linear link element has been chosen according to soil characteristic at different depth. The stiffness of the linear link elements has been assessed based on the soil characteristics and assuming for each element a given interaction surface. The vertical soil-structure interaction has been modeled with one *Linear-Link* element connected to the bottom part of the shaft foundation. Figure 10.a depicts an extruded view of the pier connected to the shaft foundation while the associated FEM model is shown in Figure 10.b. In addition, the macro-element model used for the soil-interaction is illustrated in Figure 10.c.



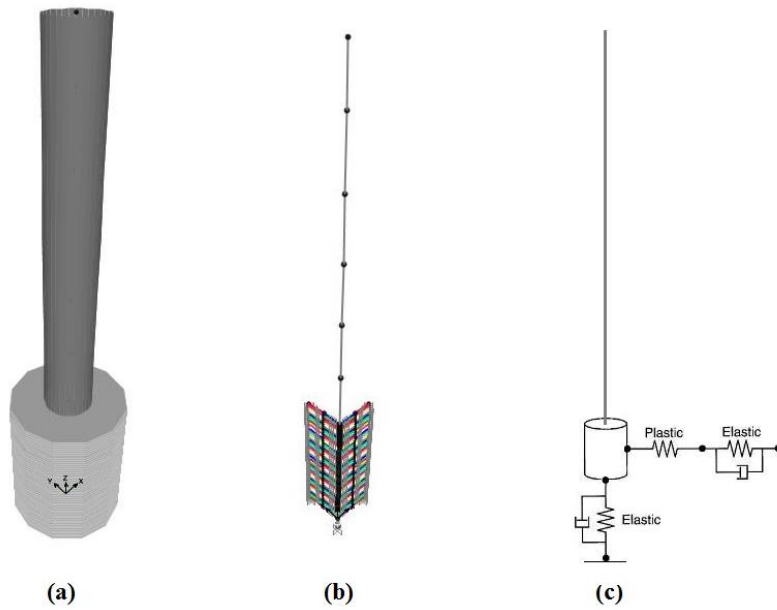


Figure 10. Extruded view of the structural model (a), FEM model (b) and macro-element model used for soil-structure interaction (c).

Concentrated plasticity model (CALTRANS type P-M2-M3) has been chosen to consider the nonlinearity in the pier. The position of plastic hinge has been supposed coincident with the pier-shaft foundation interface, while its length has been assessed according to the Italian standard [26]. The non-linear dynamic analyses have been performed taking into consideration the P- $\Delta$  effects and applying the horizontal acceleration time histories in the two principal directions of the bridge.

#### 4.2 Ground motion selection and modification

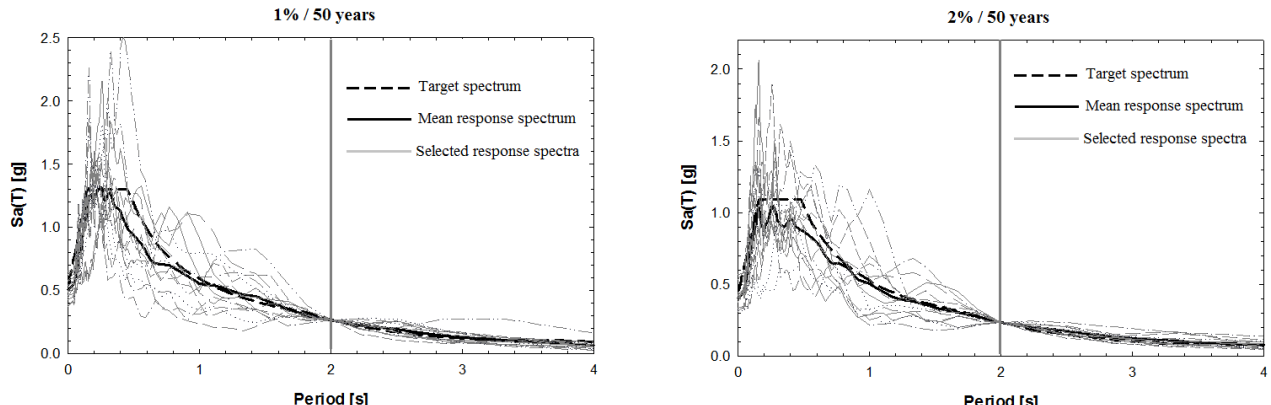
Six HLs have been analyzed (i.e., 50%, 20%, 10%, 5%, 2% and 1% of exceedance probability in 50 years). The Italian Design Response Spectrum (DRS) has been considered as target spectrum [26]. Further details on the used hazard parameters can be found in the interactive hazard map of INGV (<http://essel-gis.mi.ingv.it/>) [27]. PGA and spectral acceleration at reference period are listed in Table 2 for each HL.

Table 2. Spectral acceleration at first-mode period and PGA for each HLs.

HL	50%	20%	10%	5%	2%	1%
<b>Sa(T<sub>ref</sub>) [g]</b>	0.03	0.06	0.11	0.15	0.24	0.27
<b>PGA [g]</b>	0.10	0.17	0.24	0.30	0.44	0.52

The fundamental period of the pier, considering the soil-structure interaction, is equal to 1.96 s. The related target spectral acceleration is considered as IM parameter. Seven groups of acceleration records have been selected for each direction and for each HL according to the proposed GSM procedure. The software OPENSIGNAL 4.1 [9] has been used for scaling and selecting ground motions. Figure 11 illustrates the mean spectrum compatibility for the cases of 1% and 2% of exceedance probability.

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Figure 11. Spectrum-compatibility for 1% and 2% of exceedance probability in 50 years.

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The mean spectra are close to the target spectra within the reference period range.

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4.3 Analysis of the results and comparison with other GSM methods

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The ductility ratio ( $\mu_d$ ) is considered as EDP to assess the level of damage on the pier. This parameters is defined as the ratio between the maximum plastic top displacement and yielding top displacement of the pier. Since only the structural damage has been assessed, the soil displacement (roto-translation of the shaft foundation) obtained from dynamic non-linear analyses has been removed.

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The geometric mean of the displacements has been obtained from the non-linear analyses for all the selected records, and the maximum ductility ratios have been considered as EDP. Figure 12 shows the associated values depending on the spectral acceleration at the first period of the pier (IM). Considering the EDPs as lognormally distributed, the mean ( $\theta$ ) and standard deviation ( $\beta$ ) have been calculated for each IM (Figure 12).

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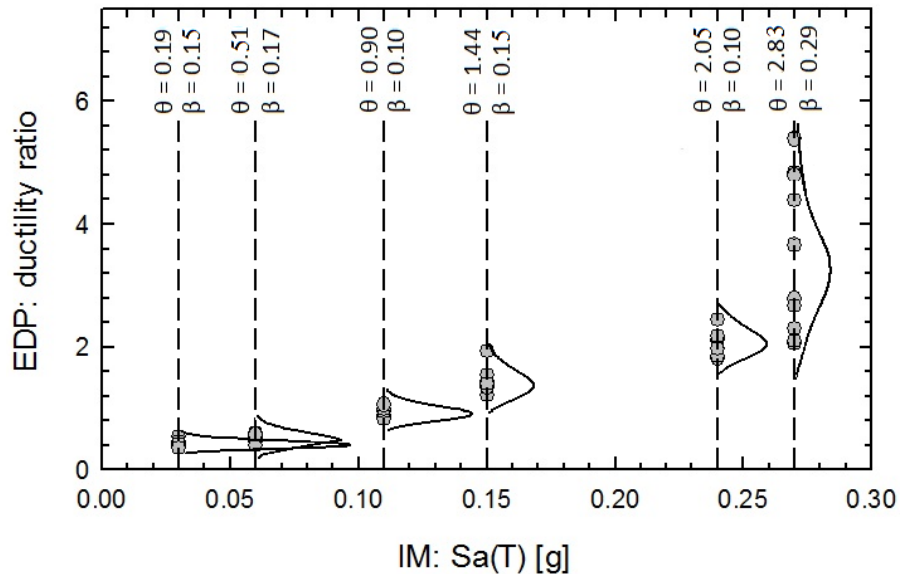
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Figure 12. Maximum ductility ratio for each IM and statistical analysis of the results.

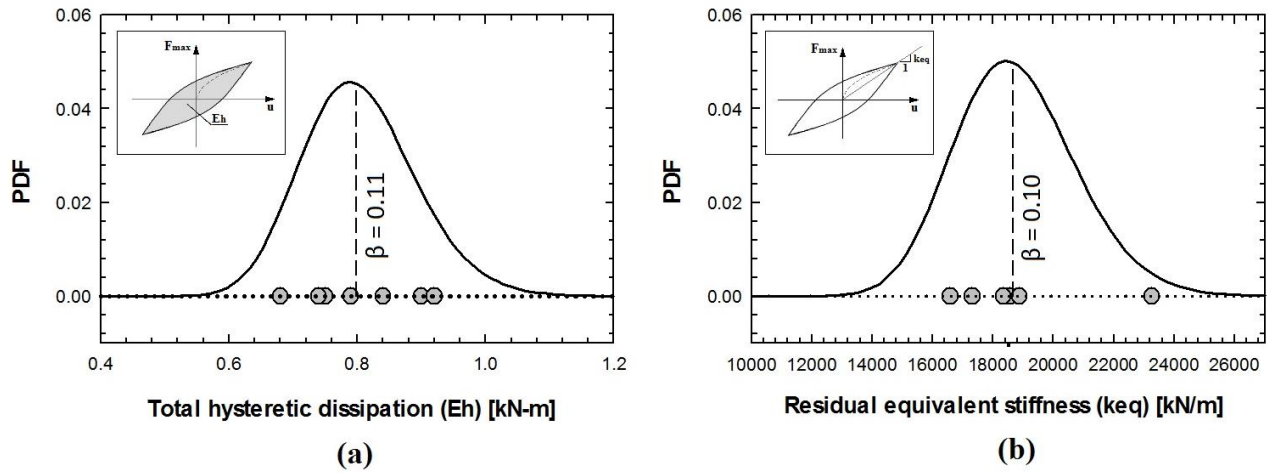
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The dynamic post-elastic soil behavior is influenced by the number of cycles and their amplitude. For high HLs, the degradation of the characteristics of the soil rapidly increases and the soil response is governed by its residual parameters. As an illustrative example, the hysteretic cycles of soil at 2.00

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473 m of depth are assessed for HL of 5 % of exceedance probability in 50 years. Figure 13 illustrates the  
 474 lognormal probability density function associated with the total hysteretic dissipation ( $E_h$ ) and the  
 475 residual equivalent stiffness ( $k_{eq}$ ).



476 (a) (b)

477 Figure 13. Total hysteretic dissipation (a) and residual equivalent stiffness (b) for soil at 2.00 m of  
 478 depth and 5% of exceedance probability in 50 years as HL (The standard deviations  $\beta$  are reported).

479 The total hysteretic dissipation and the residual equivalent stiffness have been considered as soil  
 480 degradation parameters. In both cases, Figure 13 shows a limited dispersion of the results obtained  
 481 from the dynamic non-linear analyses ( $\beta$ ; 0.1).

482 The dynamic response of the pier is strongly dependent on the soil behavior, which is sensitive to the  
 483 frequency content of the time histories. Furthermore, the maximum rotation and horizontal  
 484 displacement of the shaft foundation is governed by the total input energy (described by the Arias  
 485 intensity) and on the peak parameters. For each HL, the normal standard deviation of the  $PGA$  and  $I_A$   
 486 resulted by the three considered GSM methods have been compared (Figure 14).

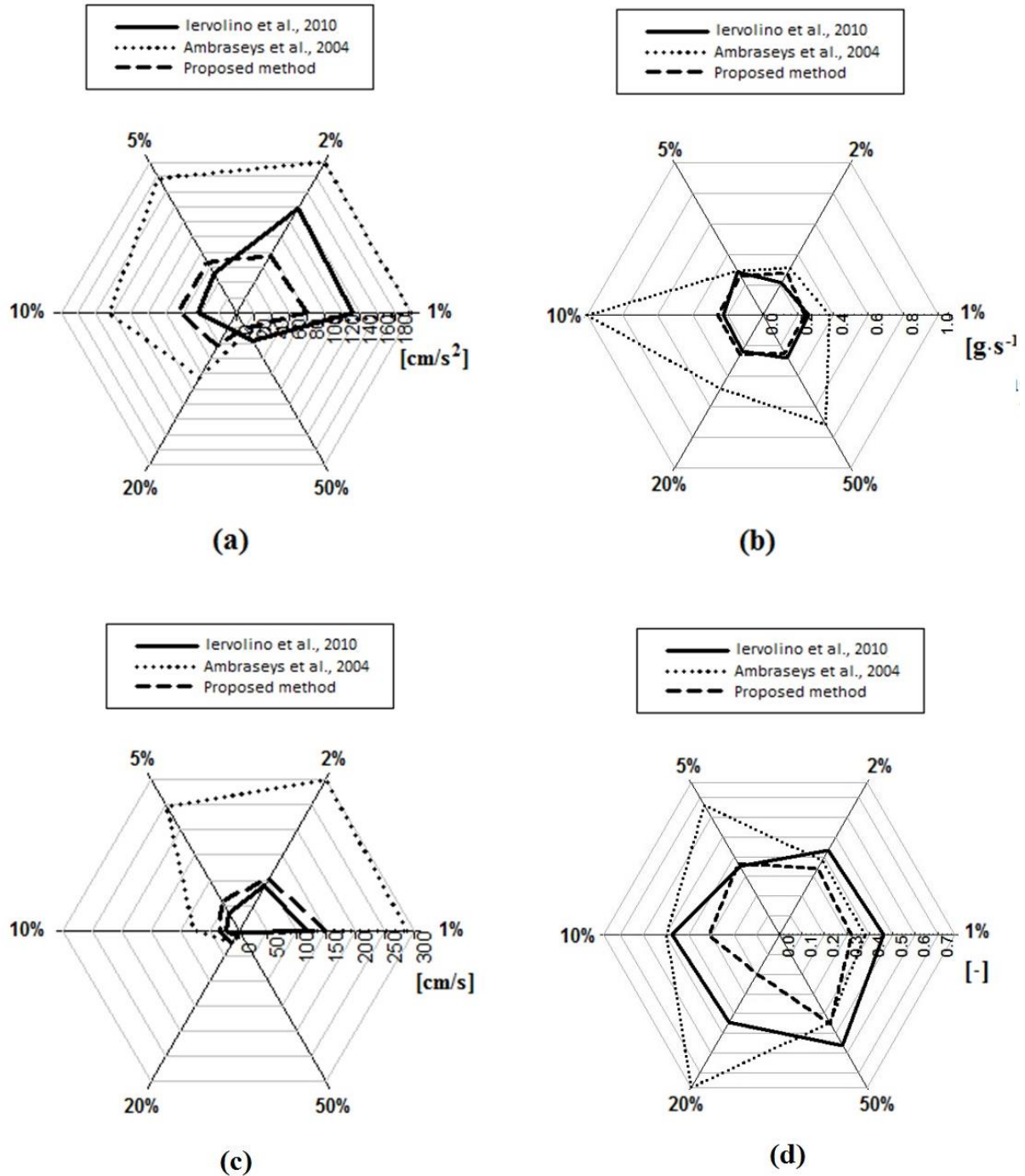


Figure 14. Comparison of the standard deviation of PGA (a), AV ratio (b), IA (c), and ID (d) obtained through Iervolino et al., 2010 [5], Ambraseys et al., 2004 [4] and the proposed method.

The energetic-based method allows us to obtain a bounded dispersion of the  $PGA$  and  $I_A$  with consequent benefits in terms of stability of the structure-soil response for a given hazard scenario. In order to evaluate the uncertainty of the dynamic response of the pier, nonlinear dynamic analyses have been performed using the set of motions resulting from Ambraseys and Iervolino method [5]. Considering the ductile ratio (IM) as lognormally distributed for a given hazard scenario, the standard deviations values and the median maximum ductility ratios have been assessed and compared (Figure 15).

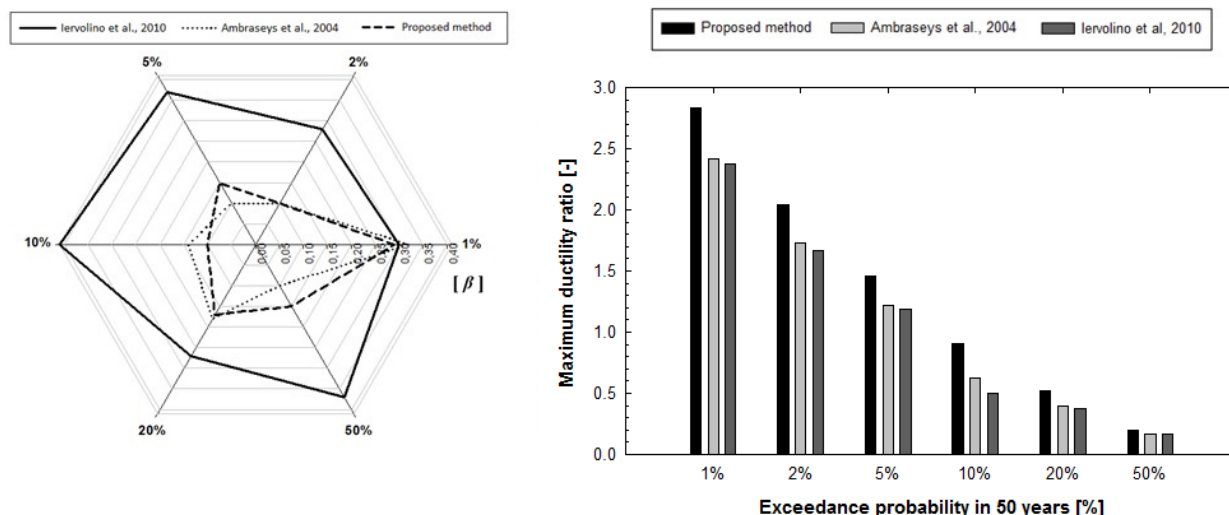


Figure 15. Comparison of the logarithmic dispersion of maximum ductility ratio and median maximum ductility ratio obtained through Iervolino et al., 2010 [5], Ambraseys et al., 2004 [4] and the proposed method.

Figure 15 shows how the structural dynamic response uncertainty is reduced using the Ambraseys and the energetic-based method. In addition, for high hazard scenario (1% of exceedance probability in 50 years) the smallest lognormal dispersion of the ductility ratio has been obtained with the proposed GSM procedure.

A comparison in terms of median drift ratio has been carried out to verify the accuracy in preserving the median response for all hazard scenarios (Figure 16).

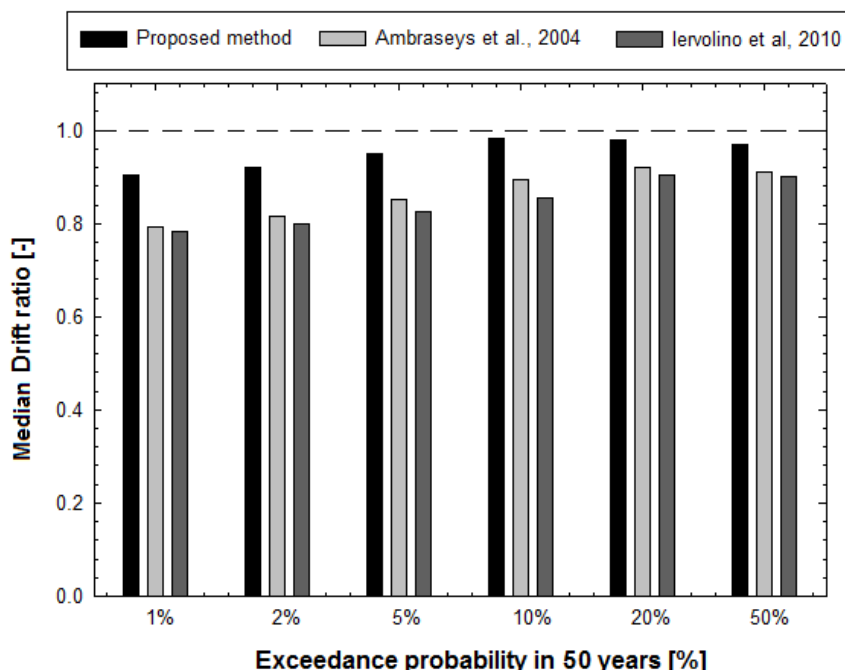
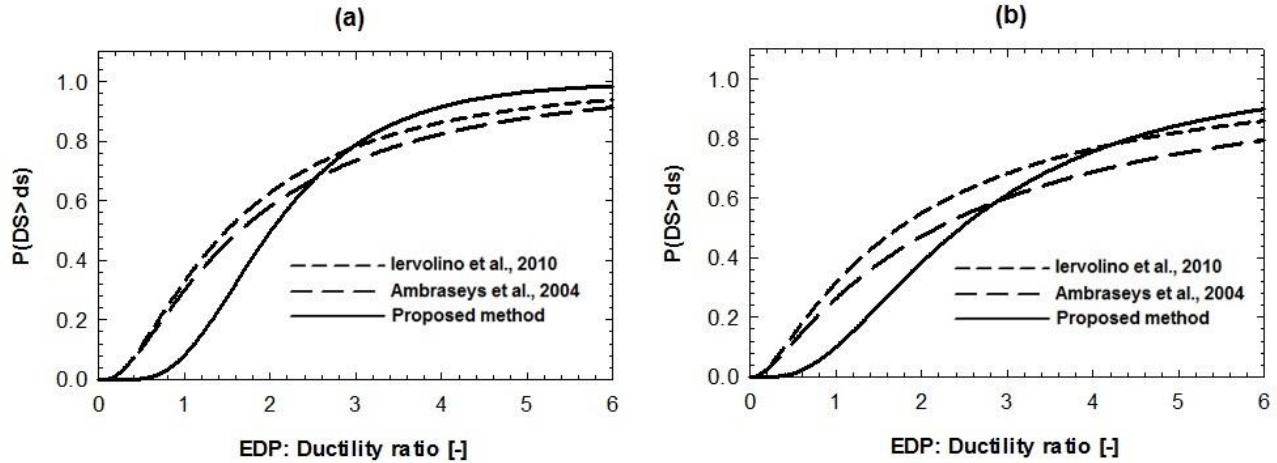


Figure 16. Comparison of the median drift ratio obtained through Iervolino et al., 2010 [5], Ambraseys et al., 2004 [4] and the proposed method.

According to the proposed methodology, the median drift ratio is always more representative of the median demand for the hazard scenarios. The proposed method is capable of estimating a highly representative set of EDPs for each considered hazard scenario.

513 The extensive and complete DS have been considered for the reinforced concrete girder bridge de-  
 514 pending on the maximum ductility ratio. The DS threshold values have been assumed accordingly  
 515 to Banerjee et al. [28] and the fragility functions have been obtained from the three different  
 516 GSM methods (Figure 17).



517  
 518 Figure 17. Comparison of the fragility curves obtained through Iervolino et al., 2010 [5], Ambraseys  
 519 et al., 2004 [4] and the proposed method for extensive (a), and complete damage state (b).

520 The fragility curves' dispersions obtained through Iervolino and Ambraseys are greater than the  
 521 dispersion derived from the proposed method. It is also possible to observe how the mean probability  
 522 to exceed complete and extensive damage states for the proposed method is greater than that one  
 523 obtained through Iervolino and Ambraseys methods. Furthermore, the mean probability to exceed  
 524 complete and extensive damage states obtained with the proposed method is closer to the expected  
 525 one. This is also reflected in the comparison shown in Figure 16.

526

## 527 5 CONCLUSION

528 Currently, the accessibility of ground motion database permits the analysis of structures using real  
 529 ground motion data. Predicting the dynamic behavior of structures is a primary objective; therefore,  
 530 the determination of a set of ground motions that shows a small variability of the structural response  
 531 and accuracy in preserving the median demand is the most challenging task.

532 The new GSM methodology is based on the energy content of the records. It helps in controlling  
 533 the essential variables that influence the dynamic response of structures. In addition, the selected  
 534 records are compatible with the seismic hazard analysis at the site of interest, in terms of the spectral  
 535 acceleration at the period of reference and the M-R parameters. The selected group of ground motion  
 536 records causes an identical elastic seismic action and approximately equal plastic dissipation on the  
 537 structure. The ground motions records have been selected with the main goal of assessing the  
 538 structural response given a certain intensity level. The analysis of the numerical results has shown  
 539 that the selection method significantly affects the structural response estimation and the structural  
 540 damage prediction. The procedure proposed in this work is able to reduce the scatter of the structural  
 541 response parameters around the corresponding mean values and enhance the accuracy in preserving  
 542 the median demand. In addition, the comparisons with other methods have shown the accuracy of the  
 543 estimated median EDPs for every hazard scenario. The accuracy of consequence functions (i.e.,  
 544 casualties, repair time, repair costs, etc.) can be increased by using, in the time history analyses, set  
 545 of motions having low variability and being accurate with the median demand. Therefore, the new



546 GMSM procedure can be used to define an earthquake scenario for resilience analyses of a single  
547 building or for a group of buildings.

## 548 ACKNOWLEDGEMENTS

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